Client Profile

Instructions
Please fill as much as possible and/or gather statements/policies for analysis. Next please send form by email or confidential fax to us and we will contact you.

Date Profile Completed	
Client Name (if married, initial contact person. Spouse info on next page)	
Full Legal Name	
Name to Go By	
Phone	
E-Mail	
Address	
Best method / time to contact	
Birthday	
Single, Married, Divorced	
Employer	
Occupation	
Top Financial Concern	
Earned Income (W-2 or 1099)	
Passive Income (SS, pension, dividends, interest, rental, etc.)	
Kid's full names and birthdays	

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info@llgfinancial.com

Spouse Name	
Full Legal Name	
Name to Go By	
Contact Information (if different from page 1)	
Birthday	
Single, Married, Divorced	
Employer	
Occupation	
Top Financial Concern	
Earned Income (W-2 or 1099)	
Passive Income (SS, pension, dividends, interest, rental, etc.)	
Kid's full names and birthdays	
Top Financial Goals / Concerns/Special Needs to Discuss	
Please use this area to explain	
Taxable Assets (indicate if joint or separate from spouse)	
Checking/Savings Money Markets	
CD (Certificate of Deposit) and Needs	
Stocks/Bonds/Mutual Funds/Wrap Accounts	

Retirement/Non-Taxable Assets (indicate which spouse)	
401k/Pension/403b	
Spouse 401k/Pension/403b	
Annuity and Annuity Needs	
Roth IRA and Needs	
Spouse Roth IRA and Needs	
Traditional IRA	
Spouse Traditional IRA	
College Funding Plans or Needs (529 Plans, list beneficiary)	
Savings	
Retirement Plan Savings - % (Percent of Annual Income put into 401k	
Spouse Retirement Plan Savings - % (Percent of Annual Income put into 401k)	
Other Monthly Savings Amount (or Monthly Shortfall)	
Risk Tolerance	
Investment Risk Tolerance (Aggressive, Moderate, Conservative, Other Issues)	
Spouse Investment Risk Tolerance (Aggressive, Moderate, Conservative, Other Issues):	

Pension/Social Security Expected	
Amount Expected	
Based on Salary What $$, What # Years Work, and What Formula?	
Hire Date (or when you started earning credit for a company pension)	
Service Computation Date (For Federal Employees Only)	
Spouse Pension	
Social Security Amount Expected and at What Age?	
Spouse Social Security Amount Expected and at What Age?	
Federal Benefits Data Sheet and Analysis	
Please request separate Federal Data Sheet (if applicable)	
Real Estate	
Home Value	
Mortgage Amount, Term left, Rate	
Other Real Estate (Value and Mortgage)	
Non - Real Estate Debt	
Credit Card Debt	
Car Debt	
School Debt	
Other Unusual Expenses/Debt Notes	

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Insurance	
Home, Car, Umbrella	
Health Insurance	
Spouse Health Insurance	
Life Insurance (Death Benefit, Surrender Value, Premium Cost, When Bought)	
Spouse Life Insurance (Death Benefit, Surrender Value, Premium Cost, When Bought)	
Long Term Care Plan	
Spouse Long Term Care Plan	
Disability Insurance	
Spouse Disability Insurance	
Medicare Supplement	
Spouse Medicare Supplement	
Medical History	
Health Issues (Info on Doctor Records)	
Height/Weight	
Smoker/Non	
Medications	
Hazardous Hobbies	
Spouse Health Issues	
Spouse Height/Weight	
Spouse Smoker/Non	
Spouse Medications	

Spouse Hazardous Hobbies	
Family/Estate Planning	
Current Beneficiaries	
Spouse Current Beneficiaries	
Wills/Trust/Living Will/Power of Attorney	
Parent Planning (inheritance expected or cost of their care expected)?	
Business / Side Business	
Retention Issues/Key Person Coverage/Buy-Sell Coverage	
SEP/SIMPLE IRA	
Advisors	
Accountant/Tax Issues (RMD, loss carry-forwards, bonus, strategies, SS)	
Lawyer Name/Contact Info	
Other Financial Advisor Name	
Educational Seminar Needs	
Education Material Needed	